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P/2107-181

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Emine CAPAN et al.

Date: January 17, 2002

Serial No.: 09/936,891

Group Art Unit: 1754

Filed: October 19, 2001

For: CATALYTIC PROCESS FOR THE MODIFICATION OF CARBOHYDRATES,  
ALCOHOLS, ALDEHYDES OR POLYHYDROXY COMPOUNDS

Asst. Commissioner for Patents

Washington, D.C. 20231

Attn: Office of Initial Patent Examination's

Customer Service Center

CONFIRMATION NO. 7346

**REQUEST FOR A CORRECTED FILING RECEIPT**

Sir:

Please issue a corrected filing receipt for the above application. The original filing receipt includes the following error(s):

☒ The title is incorrect. The correct title is:

--CATALYTIC PROCESS FOR THE MODIFICATION OF  
CARBOHYDRATES, ALCOHOLS, ALDEHYDES OR POLYHYDROXY COMPOUNDS--.

Enclosed is a copy of the inventor's declaration evidencing the correct data.

It is requested that the U.S. Patent and Trademark Office issue a corrected filing receipt at the earliest possible date.

Also enclosed is a copy of the Filing Receipt showing the correction made in red ink.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231, on January 17, 2002:

William O. Gray, III

Name of applicant, assignee or  
Registered Representative

Signature

January 17, 2002

Date of Signature

Respectfully submitted,

William O. Gray, III

Registration No. 30,944

OSTROLENK, FABER, GERB & SOFFEN, LLP

1180 Avenue of the Americas

New York, New York 10036-8403

Telephone: (212) 382-0700

WOG:sds

Enclosures

526117.1

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As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that I verily believe that I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**CATALYTIC PROCESS FOR THE MODIFICATION OF CARBOHYDRATES, ALCOHOLS, ALDEHYDES OR POLYHYDROXY COMPOUNDS**

the specification of which is attached hereto, unless the following box is checked:

☒ was filed on 16 March 2000 as United States patent Application Number or PCT International patent application number PCT/EP00/02351 and was amended on \_\_\_\_\_ (if any).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate or United States provisional application(s) listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

**Prior Foreign or Provisional Application(s)**

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
Germany	199 11 504.4	16 March 1999	YES <u>XX</u> NO ____
			YES ____ NO ____
			YES ____ NO ____

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

UNITED STATES APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

I hereby appoint customer no. 2352 OSTROLENK, FABER, GERB & SOFFEN, LLP, and the members of the firm, Samuel H. Weiner - Reg. No. 18,510; Jerome M. Berliner - Reg. No. 18,653; Robert C. Faber - Reg. No. 24,322; Edward A. Meilman - Reg. No. 24,735; Steven I. Weisburd - Reg. No. 27,409; Max Moskowitz - Reg. No. 30,576; Stephen A. Soffen - Reg. No. 31,063; James A. Finder - Reg. No. 30,173; William O. Gray, III - Reg. No. 30,944; Louis C. Dujmich - Reg. No. 30,625; Douglas A. Miro - Reg. No. 31,643, and Michael J. Scheer - Reg. No. 34,425, as attorneys with full power of substitution and revocation to prosecute this application, to transact all business in the Patent & Trademark Office connected therewith and to receive all correspondence.

SEND CORRESPONDENCE TO: OSTROLENK, FABER, GERB & SOFFEN, LLP  
1180 AVENUE OF THE AMERICAS  
NEW YORK, NEW YORK 10036-8403  
CUSTOMER NO. 2352

DIRECT TELEPHONE CALLS TO:  
(212) 382-0700

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

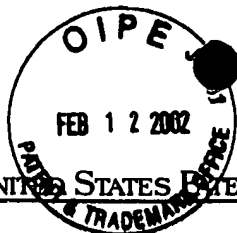
FULL NAME OF SOLE OR FIRST INVENTOR <b>Emine CAPAN</b>	INVENTOR'S SIGNATURE <i>Emine Capan</i>	DATE <b>17.09.01</b>
RESIDENCE (City and either State or Foreign Country) <b>Braunschweig, Germany</b>	COUNTRY OF CITIZENSHIP <b>Germany</b>	
POST OFFICE ADDRESS <b>Rosenstrasse 10, D-38102 Braunschweig, Germany</b>		
FULL NAME OF SECOND JOINT INVENTOR (IF ANY) <b>Marc Sascha HAHNLEIN</b>	INVENTOR'S SIGNATURE <i>Marc Sascha Hahnlein</i>	DATE <b>24.09.01</b>
RESIDENCE (City and either State or Foreign Country) <b>Mannheim, Germany</b>	COUNTRY OF CITIZENSHIP <b>Germany</b>	
POST OFFICE ADDRESS <b>Mosbacher Strasse 160, D-68259 Mannheim, Germany</b>		
FULL NAME OF THIRD JOINT INVENTOR (IF ANY) <b>Ulf PRUSSE</b>	INVENTOR'S SIGNATURE <i>Ulf Prusse</i>	DATE <b>17.09.01</b>
RESIDENCE (City and either State or Foreign Country) <b>Braunschweig, Germany</b>	COUNTRY OF CITIZENSHIP <b>Germany</b>	
POST OFFICE ADDRESS <b>Madamenweg 19, D-38118 Braunschweig, Germany</b>		

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/936,891	10/19/2001	1754	990	P/2107-181	7	18	1

CONFIRMATION NO. 2941

2352  
OSTROLENK FABER GERB & SOFFEN  
1180 AVENUE OF THE AMERICAS  
NEW YORK, NY 100368403

FILING RECEIPT



\*OC00000007211874\*

Date Mailed: 12/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Emine Capan, Braunschweig, GERMANY;  
Marc Sascha Hahnlein, Mannheim, GERMANY;  
Ulf Prusse, Braunschweig, GERMANY;  
Klaus-Dieter Vorlop, Braunschweig, GERMANY;  
Alireza Haji Begli, Ramsen, GERMANY;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/EP00/02351 03/16/2000

Foreign Applications

GERMANY 199 11 504.4 03/16/1999

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

Title

Catalytic process for modifying carbohydrates, alcohols, aldehydes or polyhydroxy compounds

Preliminary Class

--CARBOHYDRATES--

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502

**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

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NOT ORDERED <i>qr</i>	

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Bib Data Sheet

CONFIRMATION NO. 2941

<b>SERIAL NUMBER</b> 09/936,891	<b>FILING DATE</b> 10/19/2001 <b>RULE</b>	<b>CLASS</b> 502	<b>GROUP ART UNIT</b> 1754	<b>ATTORNEY DOCKET NO.</b> P/2107-181
<b>APPLICANTS</b> Emine Capan, Braunschweig, GERMANY; Marc Sascha Hahnlein, Mannheim, GERMANY; Ulf Prusse, Braunschweig, GERMANY; Klaus-Dieter Vorlop, Braunschweig, GERMANY; Alireza Haji Begli, Ramsen, GERMANY;				
<b>** CONTINUING DATA *****</b> THIS APPLICATION IS A 371 OF PCT/EP00/02351 03/16/2000				
<b>** FOREIGN APPLICATIONS *****</b> GERMANY 199 11 504.4 03/16/1999				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 03/04/2002</b>				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature Initials		<b>STATE OR COUNTRY</b> GERMANY	<b>SHEETS DRAWING</b> 7	<b>TOTAL CLAIMS</b> 18
<b>INDEPENDENT CLAIMS</b> 1				
<b>ADDRESS</b> 2352				
<b>TITLE</b> Catalytic process for modifying carbohydrates, alcohols, aldehydes or polyhydroxy compounds				
<b>FILING FEE RECEIVED</b> 990	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	